

# Does complexity and uncertainty inevitably lead to doomed Directives?

How do we do *translational ecology*?  
'cos what we are presently doing isn't working!

Graham Harris



# Restoration?

- Compliance problems with WFD
  - Lack of achievement of ecol outcomes
- Huge investments little results
  - Indicators, assessments, EQRs, protocols
- Critique by Moss (2007,2008)
  - Lack of eco~~system~~ indicators: symptoms only
  - Use of assessment data, inability to detect change, low diagnostic power
- Directives assume that “predict-act” works



# Restoration?

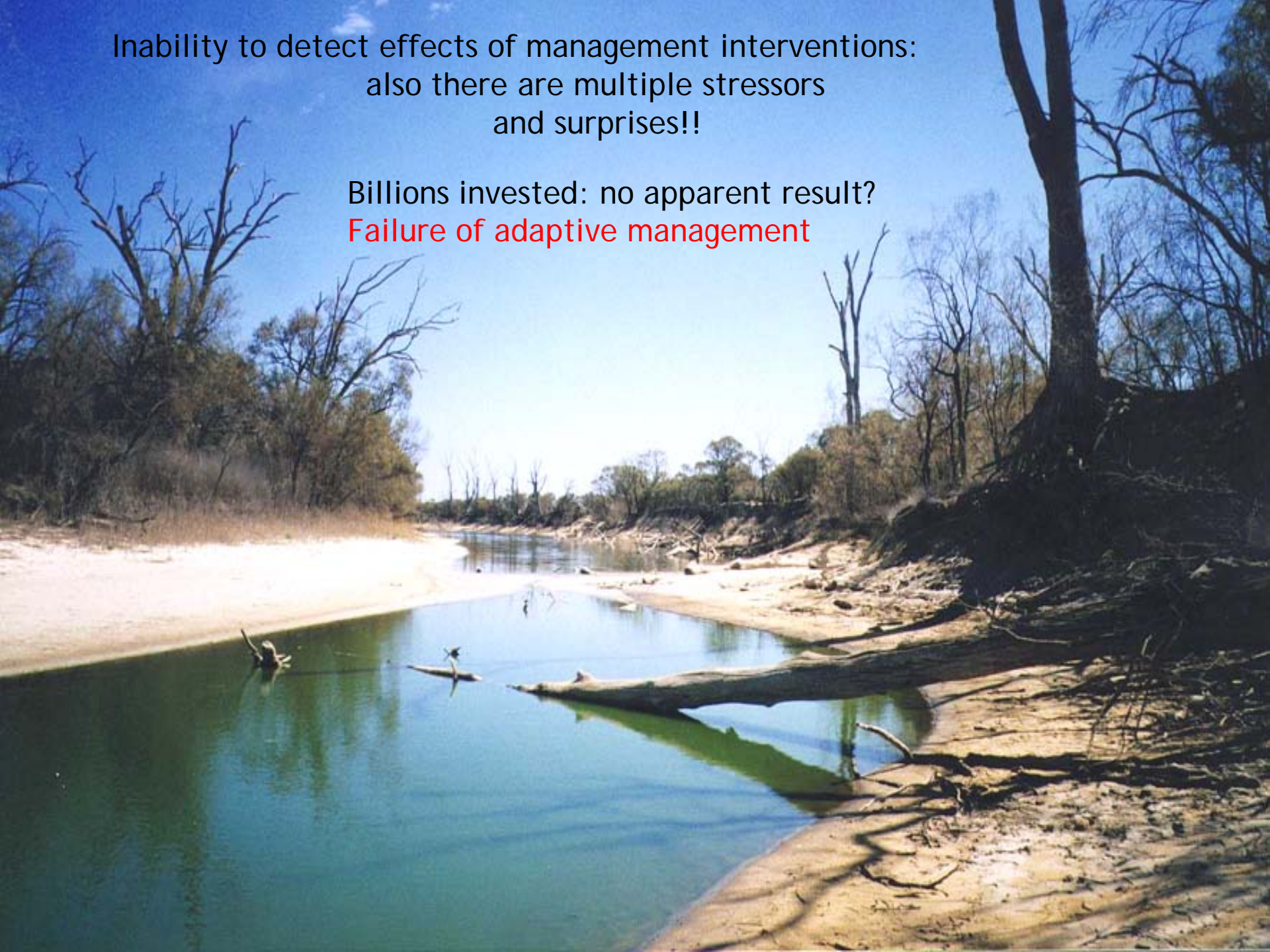
- Big investments - little ecological benefit
- Actually a global problem; USA, EU, Aus
  - Reviews and meta-analyses
  - USA rivers (Palmer, Allan et al.)
  - Oz env flows (Overton, Colloff et al.)
  - EU Agri-environment schemes (Kleijn, Kay)
  - Why is this so hard?
  - 10% success: no better than chance?
- Translational ecology: “rack and field”



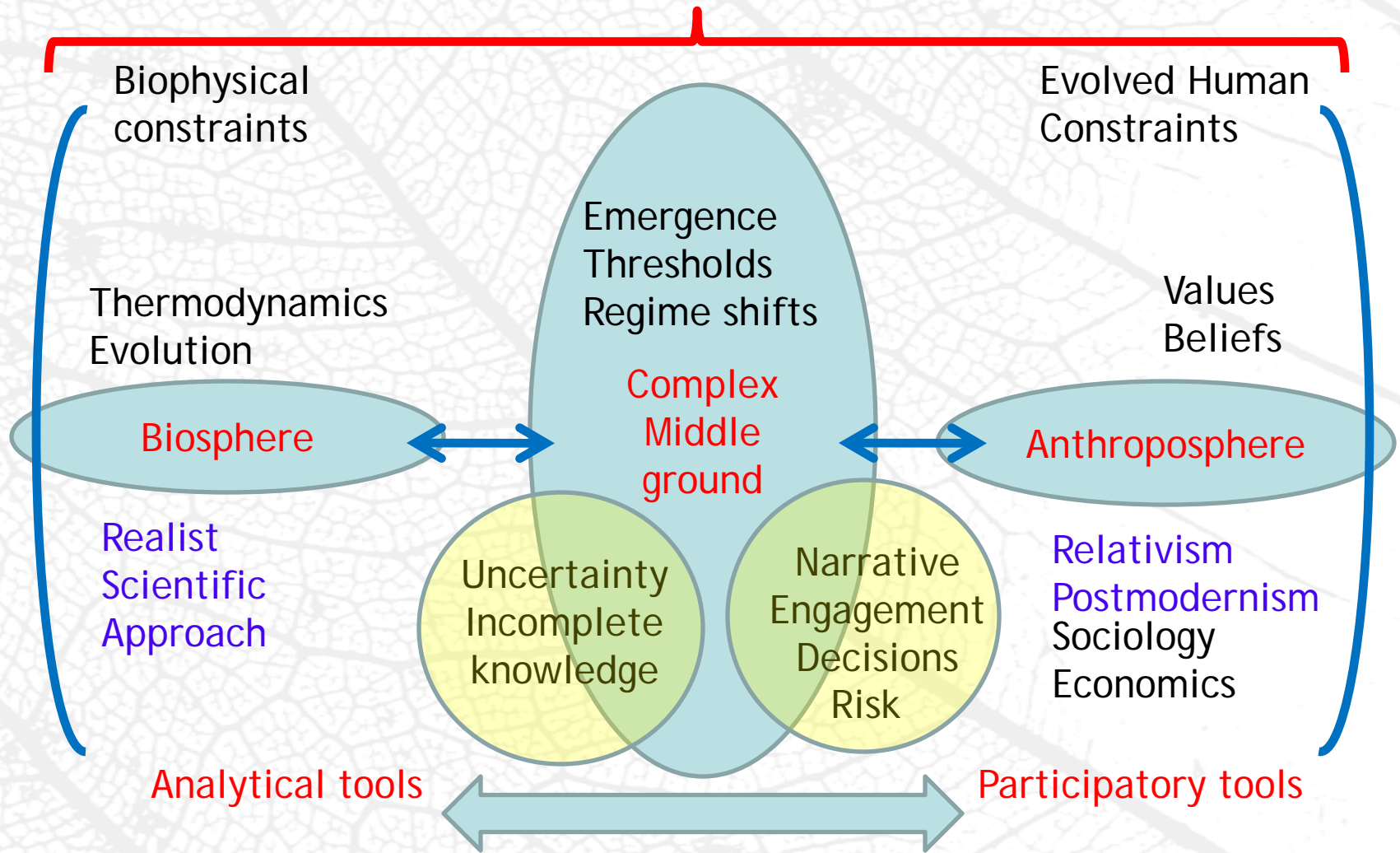


Inability to detect effects of management interventions:  
also there are multiple stressors  
and surprises!!

Billions invested: no apparent result?  
**Failure of adaptive management**



# Worldviews and semiotics





# Change in focus required

- Much focus on social engagement
  - But uncertainty a major issue in ecol data, models and practice
- Complex entities with dynamic properties
  - Noise isn't noise: need more power... (?)
- Understanding of both analytical and engagement tools required
  - Informational and normative uncertainty
  - Severe knowledge needs



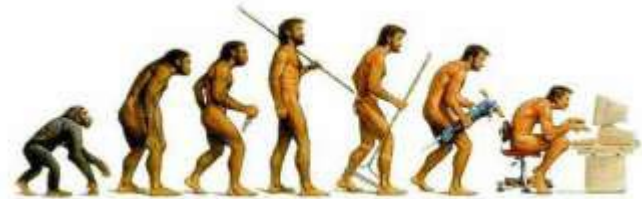
# The “framing issue”

- Usual debate framed around balance and equilibrium - has very old roots - **realism**
  - Theory, data collection and analysis issues
- Philosophical basis is idealised (Wimsatt)
  - Not appropriate for complex systems
  - Ecology is “special”; uncertainty, no general, precise laws - **life is different** (Rosen)
- *Collating data from “big databases in the sky”... we are making strong assumptions*



# Problems with incorrect framing

- Unknown threats and risks are masked
  - Realist focus on the (apparently) computable **complex problems are non-computable** (Rumsfeld's unknown unknowns!) no system
  - Second, belief in dominant models even though incomplete; belief may be strong enough to filter out inconsistent signals
- **Beware group think**
  - (Carpenter et al. 2009)





# The Complexity “turn”

- Adaptive local interactions: **no ecosystem**
  - agents, institutions, evolved systems
  - Robustness and fragility: tipping points
  - Precariousness and thresholds
- Uncertainty: knowledge and models partial
  - Emergence, surprises will occur
- Multiple stressors - *“causal thickets”*
  - *Predict-act frameworks unreliable*
- Many players, institutions, governance



# Complicated and complex?

- In trying to monitor change and restore (more sustainable) landscapes
  - Clearly not everything works right now!
- How much is complicated/constrained?
  - i.e. Is computable? Predictable with more cross-scale data, higher resolution?
- How much is truly complex?
  - fundamentally uncertain, non-computable?
- And which bits are which?





# No general precise laws?

- Landscape science is a “middle number” problem: components  $\gg 1$  and  $\ll$  gas laws
  - Human scale is the meso-scale
- Components are **evolved** - HOT systems (Carlson and Doyle) - interconnected, **adaptive** - therefore **self-organising**
- “Entities” are multi-scaled, **non-linear** and show **“strong”** emergence: scale issues
  - More **is** different (Anderson 1972)



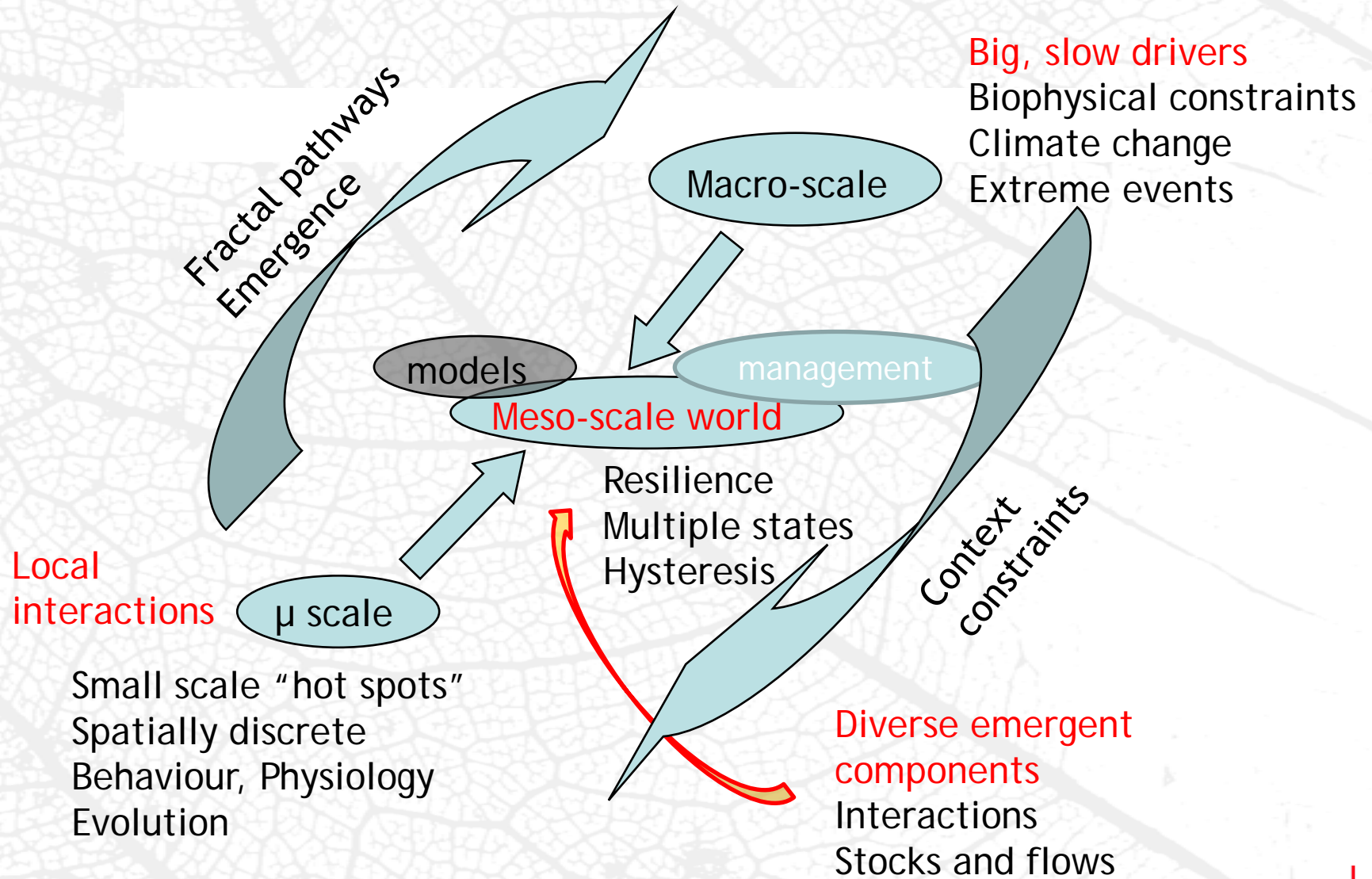
# Hierarchical (nested) dynamics

- Small is really important (micro-ecology)
  - Emergence and non-linearity
- Both bottom up and top down causation
  - Philosophers have real problems with this!
- Modelling from the middle-out: emulation
  - Systems biology idea attributed to Sydney Brenner but actually a very old concept
- *Capturing the essence whilst recognising uncertainty (Unknown Unknowns again)*





# The non-equilibrium hierarchical patch dynamics view



# Percolation, patches, advection

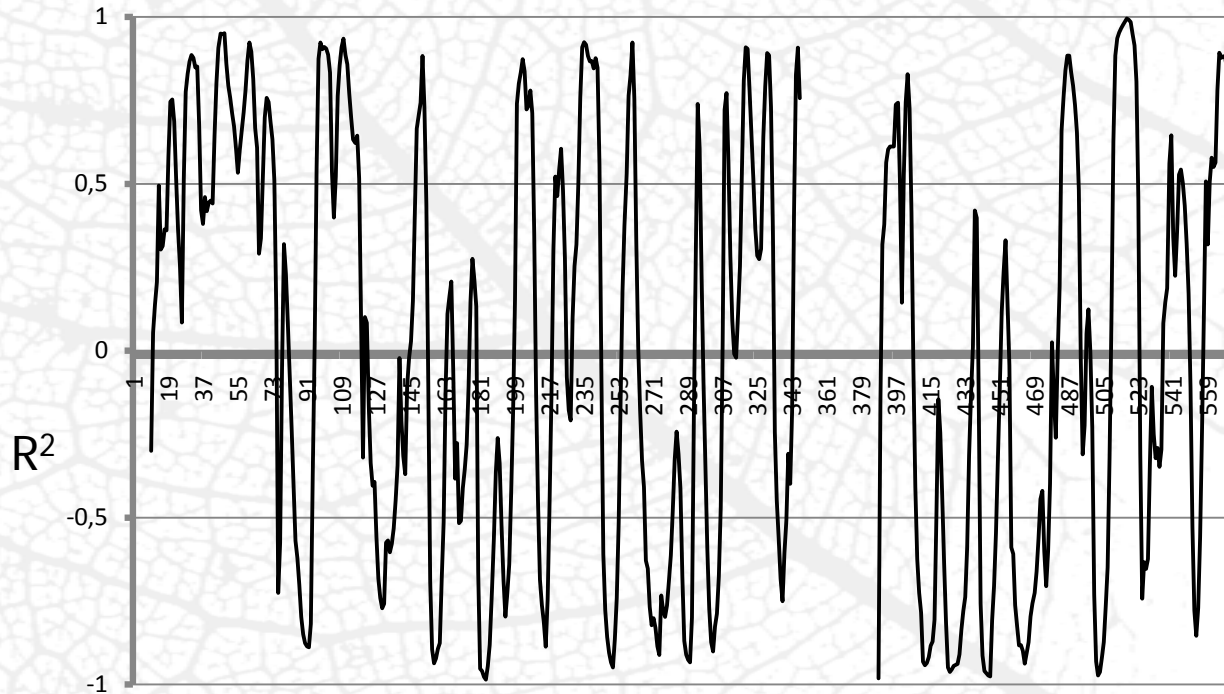
- Small scale patchiness has upwards (bigger, slower, larger scale) implications
  - Percolation in A(R-D) processes
- Changes in small scale processes change dynamics of evolved HOT systems
  - Interaction of disturbance regime with patch dynamics determines scaling of response
- **Self-organisation**: small scales in soils, water percolation, macropores, fractal flowpaths





Small, local patches, evolving differently, unknown initial conditions

10 point Moving Correlation Chl:DO data  
one minute intervals underway



Longmore et al. (1996) Transect 23

Entities evolve over time on different paths at different scales... Controls??



# Uncertainty

- Complexity means problem is not computable (there is no system!)
- But there are patterns - constraints: evolved stoichiometry, physiology, thermodynamics
  - Predictive power is low but does exist
- Embeddedness also means that upstream/external influences unknown/unexpected
- Knightian uncertainty (Rumsfeld 'UU' problem) at extreme precautionary principle



# It's an A(R-D) problem

- Cross scale Advection(Reaction-Dispersion) problem with adaptive, evolved agents
- HOT (high performance) lattices
  - Balance of fragility and robustness
- Ensemble properties of non-equilibrium, spatially distributed, biodiverse assemblages
  - Underlying evolved, genomics, biochemistry and physiology: **there are constraints**
- **Micro-ecology with macro-connections**





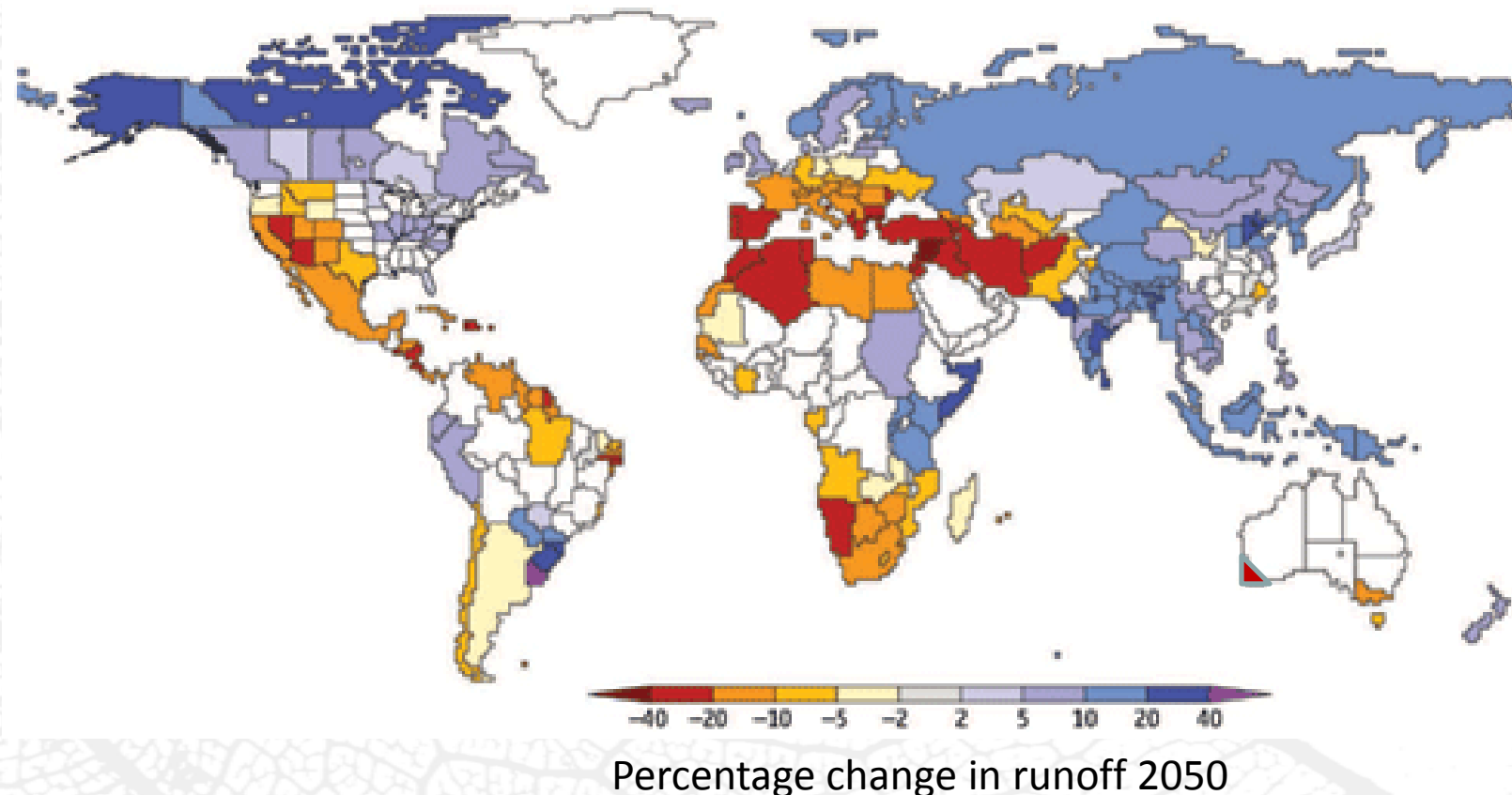
# Non-stationarity

- Ecology (the planet) is non-equilibrium and non-stationary at scales from minutes to evolutionary time scales (>10My)
  - Fundamental uncertainty
- Something we must accept and plan for
- GFC has similar uncertain origins
  - Flawed assumptions and models
- Contingency, path dependence, extreme events are critical - physiology -> evolution



# Stationarity is dead: climate change affects water management

## Widespread anthropogenic environmental change: dams, LUC

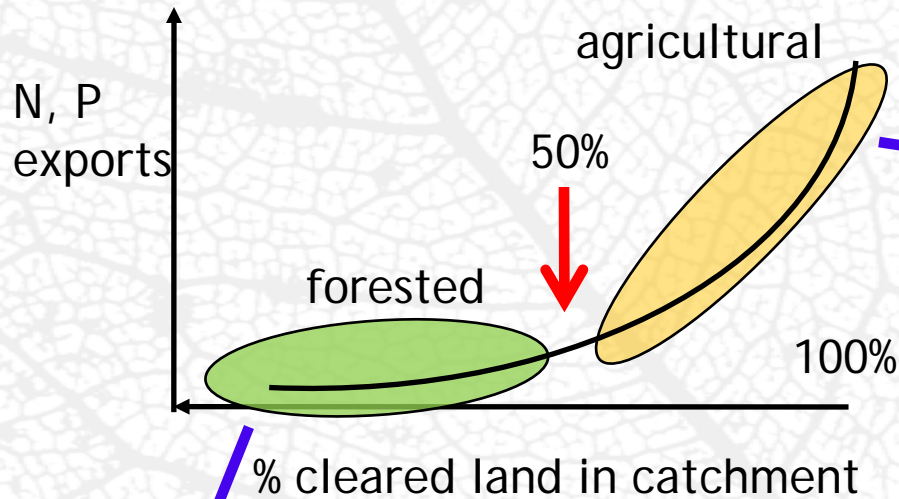


# Catchments

- Catchments are messy because of ESE systems at a range of scales
  - Connectivity and complex interactions across time/space - advection by extreme events
- Coupled with human actions, values, markets - also across time/space
- Particularly difficult because of strong cross-scale interactions and causal thickets
  - Self organisation and multiple stressors







Low exports, retention  
 Macropores, infiltration  
 Low suspended sediments  
 High DOC, DON, low P  
 High C:N and C:P  
 Low DIN:TN  
 Low in-stream production  
 Allochthonous C, N and P  
 Heterotrophic C balance

High exports  
 Surface sheet-flows  
 High suspended sediment loads  
 High DIN, DIP  
 High DIN:TN, DIP:TP  
 Low C:N, C:P  
 High in-stream production  
 Autochthonous production

Most catchments now in this state

Characteristic catchment properties  
 Forested vs cleared



# Perturbed systems

- These are non-eq systems - spectrum of perturbations is critical (Kirchner)
  - HOT systems evolved for expected spectrum of perturbations - fragility in face of the unexpected (Csete and Doyle)
- Human attempts to “flat line” disturbances destroys ecosystem function (monocultures)
  - Inadequate data (aliasing) so we have no idea what has changed or how to go back
    - Weekly to monthly assessment data???



# Multiple stressors: cross-scale effects

- Cross-scale effects in regions: **causal thickets**
  - Stocks connected by (multi-fractal) flows: transport, reach of capital - local to global
- Effects from both near and far
  - Multiple effects, stressors: non-equilibrium
- Adaptive (evolved) responses to frequency and magnitude of effects: fast to slow
  - Range of strategies, natural history critical
- Robustness and regime shifts: thresholds





# A(R-D) problems

- So it is (Reaction-Dispersion) locally
  - Therefore require measures to define local properties and interactions: thresholds etc
  - Characteristics, invariants, order parameters
- Advection across scales (Harris et al. 1980s)
  - Connectivity, flows, linkages:  $S^2$  spectrum?
  - Hydrology, critical source areas, hot spots
- And the interaction between the two
  - Emergence, connecting the small and large



# Degrees of uncertainty

- 1. Complete certainty - Newton
- 2. Risk without uncertainty
  - Randomness governed by known probability
- 3. Fully reducible uncertainty
  - Given enough data.....
- 4. Partially reducible uncertainty
  - Poor data, non-linear, non-stationary, complex, adaptive, emergent, time varying generating functions, dependence on initial conditions
- 5. Irreducible uncertainty - suffering!



# In the context of ICM/IWRM...

- What is “knowable” and what, maybe, isn’t
- How to deal with this (particularly science)
- Institutional resistance
- Rethink of approaches to policy and risk
  - Data resolution, change undefined, Nyquist freq., complexity means no reference sites!
- Restoration of what to what? (Walker et al.)
- Social engagement and values





Simple risks

Uncertain risks

Complex risks

Systemic risks

Engineering  
Physics

Known  
Knowns

Unknown  
Knowns

Known  
Unknowns

Unknown  
Unknowns

Directives

Scientific  
management

Adaptive  
management

Robust  
Decision  
Making

complexity

Precautionary  
Principle

Frequentist statistics  
Bayesian  
statistics

Causal thickets

Ecology  
Climate  
Rivers

Complete  
certainty

Complete  
Uncertainty



?



# Uncertainty

- Acknowledging incomplete knowledge and that things are complex and incomputable means robust decision-making techniques are required
  - Lempert et al. “no regrets” policies and actions (no idea what we have changed!)
- Recognition of lack of ability to restore to “remembered” states - hysteresis and novel “non-homologous” ecosystems (Hobbs)



# Environmental management

- Environmental management means:
  - analytical tools to understand risk and the behaviour of complex natural and man made systems and...
  - participatory tools to deal with beliefs and values, debate options, communicate risk and act (bench to bedside.... )
- All in the context of culture and values: semiotics (yes, in science too!)
  - What is good? And how do we define it?



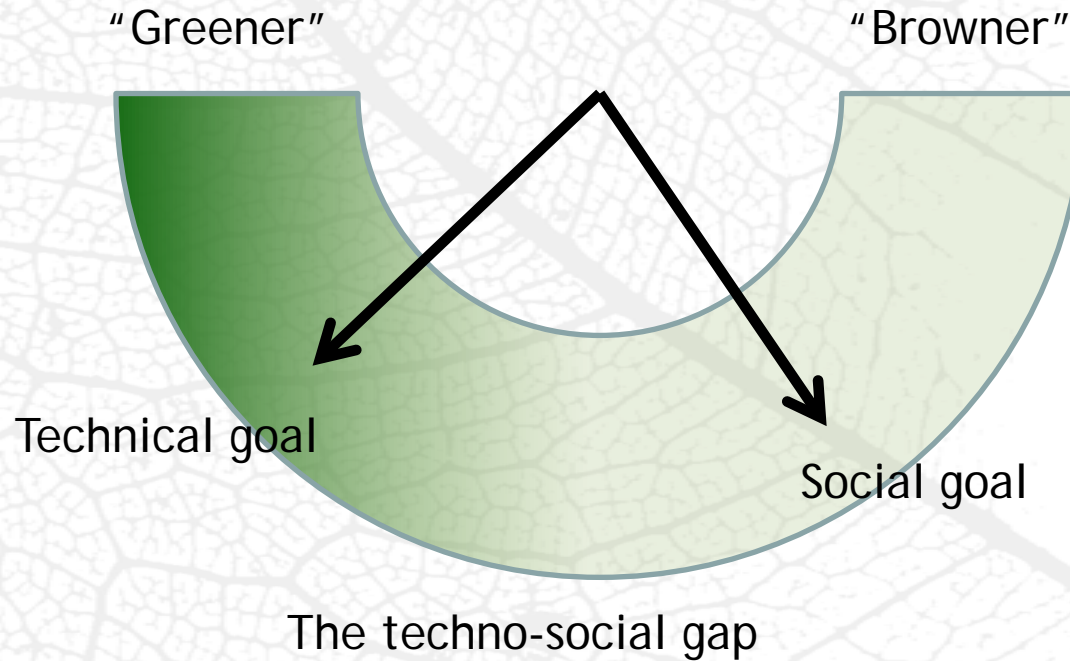


# Where to from here?

- Progress indicators
  - Better evidence - recognising uncertainty
- New measures of good - system invariants?
  - (R-D) Order parameters, patterns (Rietkirk),  
(A) connectivity, flows, sources, sinks
- No regrets management actions: context
  - Is “natural” good? What is natural anyway?
- Investment under uncertainty
  - Pick a goal; will any goal will do? (complexity)

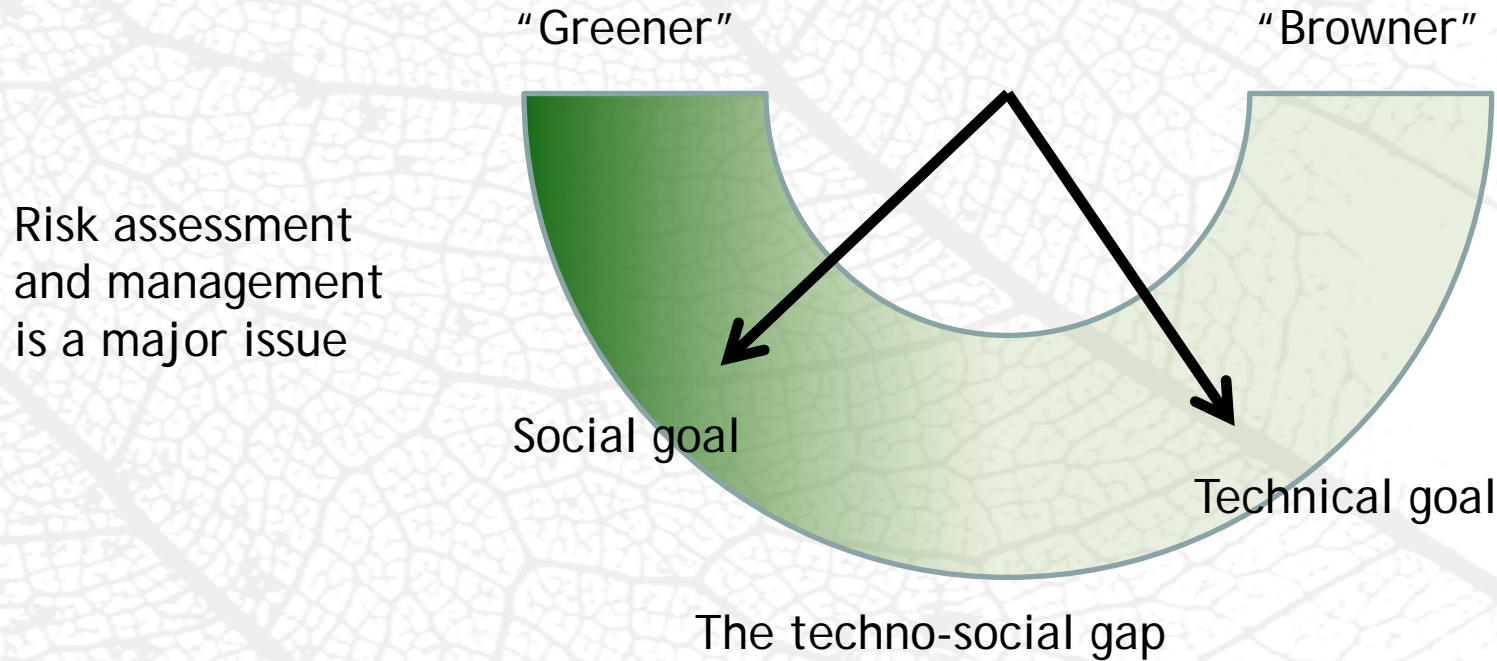


# What kind of goal?



# What kind of goal?

Societal goals and the "licence to operate" of industries







We need to do some hard thinking about what we are doing

The Lancaster  
Environment Centre

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